



ProQuest Dissertations & Theses: USMARC Records

General Notes

Tags 100a, 242a, 245a, 700a, and 740a -- Data will appear in all upper case for records that were published before 1988. Beginning with records published in 1989, the titles will appear in standard LoC title format: that is, only the first letter and proper nouns are capitalized.

500a (General Note - Advisor) and 790a (Added Entry - Advisor Name) -- We started adding advisor names to the database with January, 1989 publications (DAI 49-07).

520a (Abstract of Dissertation) -- Abstracts published by us prior to May, 1999 may contain TeX coding. Abstracts published from May, 1999 (DAI 59-11) to the present may contain SGML coding.

USMARC Tag List

USMARC records from ProQuest Dissertations & Theses can include the following fields.

Tag	Description	Start Date
001	Publication Number	
005	Date and Time of Latest Transaction	
008	Fixed Length Data Elements	
020	ISBN	Spring 1988 volume 49 No. 01 Section C
035	System Control Number	
040	Cataloging Source	
100	Main Author	
242	English Translation of Foreign Title	Spring 1988 volume 49 No. 01 Section C
245	Title Statement	
300	Number of Pages	
500	General Note (Source)	
500	General Note (Publisher)	
500	General Note (Advisor)	Jan 1989 DAI Volume 49 No. 07

Tag	Description	Start Date
500	General Note (other)	
502	Dissertation Note	
506	Restrictions on Access Note	
520	Abstract of Dissertation	Vol. 41 No.01 DAI; Vol. 20 No.01 MAI
535	Location of Copy	Spring 1988 volume 49 No. 01 Section C
590 *	Local Note (School Code)	
650	Subject Term	
690 *	Subject Code	
700	Added Entry - Multiple Author	Jan 1989 DAI Volume 49 No. 07
710	Added Entry - Corporate Name	
740	Added Entry - Variant Title	
773	Host Item Entry	
790	Added Entry - Advisor Name	Jan 1989 DAI Volume 49 No. 07
790 *	School Code	
791 *	Degree Name	
792 *	Degree Date	
793 *	Language of Dissertation	Spring 1988 volume 49 No. 01 Section C
856	Electronic Location and Access	2009

* These ProQuest-defined fields contain coded information that allow local systems to provide the same level of access to USMARC-formatted ProQuest Dissertations & Theses records as is available from ProQuest's online databases. Local systems must decide whether to include these fields when loading the USMARC-formatted records provided by ProQuest.

USMARC Tag Descriptions

001 Publication Number 001

Description

This field contains the dissertation's unique identifier, a 7-character "publication number" prefixed by a 3-letter prefix: "AAI". Thus, the length of this field will be 10 characters. For DAI parts A and B and MAI, values 01-07 in first two bytes of the 7-character publication number means the dissertation is not available for sale by ProQuest and should be so designated. For DAI Section C, all publication numbers begin with "C", and none are available for sale by ProQuest. Some DAI parts A and B records (British Dissertations) have publication numbers beginning with "D-" or "DX". Some DAI parts A and B and MAI records (Canadian theses) have publication numbers beginning with "M" or "N".

Format

The field ends with a field terminator.

Examples

AAI0126421

AAI8901234

005 Date and Time of Latest Transaction 005

Description

This field specifies the date and time of the latest record transaction and serves as a version identifier for the record.

Format

The date is recorded in 8 numeric characters in the pattern YYYYMMDD (4 for the year, 2 for the month, and 2 for the day). The time is recorded in 8 characters in the pattern HHMMSS.F (2 for the hour, 2 for the minute, 2 for the second, decimal point, and 1 for the tenth of a second). The 24-hour clock (00-23) is used. The field ends with a field terminator.

Examples

19910826080312.5

19910826150433.7

008 Fixed Length Data Elements 008

Description

This field provides coded information about the record as a whole and about bibliographic aspects of the item being described.

Format

Fixed-length, 40 characters. The field ends with a field terminator.

Examples

910709s1990 ||||| d

920312s1991 |||eng d

020**ISBN****020****Description**

This field contains the International Standard Book Number, which is included when available.

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the ISBN (without hyphens). The field ends with a field terminator.

Example

\$a0542111551

\$a9780542111556

035**System Control Number****035****Description**

This field contains the Record control number from the 001 field, to allow a local system to replace the 001 Record control number field with its own local system control number, yet retain the PQDT control number.

Format

Two blank indicators, subfield delimiter, data element identifier "a", ProQuest's MARC organization code enclosed in parentheses "(MiAaPQ)", and the record control number from the 001 field. The field ends with a field terminator.

Examples

\$a(MiAaPQ)8901234

\$a(MiAaPQ)AAX8901234

040**Cataloging Source****040****Description**

This field contains the MARC organization code of ProQuest--the organization that created the original record in machine-readable form.

Format

Two blank indicators, subfield delimiter, data element identifier "a", ProQuest's MARC organization code "MiAaPQ", subfield delimiter, data element identifier "c", and another occurrence of the code "MiAaPQ". The field ends with a field terminator.

Example

\$aMiAaPQ\$cMiAaPQ

100**Main Author****100****Description**

This field contains the name of the first or only author of the dissertation. If there is more than one author of a dissertation, the second and any subsequent author names will appear in 700 Added Entry fields.

Format

First indicator "1", second indicator blank, delimiter, data element identifier "a", and the first author's name. The field ends with a period and a field terminator.

Example

1 \$aDAVIS, WILLIAM CARL.

242**English Translation of Foreign Title****242****Description**

For DAI Section C records, if the main title is not in English, an English title will appear in this field. For DAI sections A and B, only the 245 Title field will appear.

Format

First indicator "0", second indicator "0", delimiter, data element identifier "a", the English translation of the title of the dissertation, subfield delimiter, data element identifier "y", and "eng" (for English language). The field ends with a field terminator.

Example

00\$aFUNCTIONAL MODIFICATIONS OF FEMOROPATELLAR ARTICULATION AFTER SURGICAL INTERVENTION ON THE EXTENSOR\$yeng

245**Title Statement****245****Description**

This field contains the title of a dissertation or thesis, and will occur once per record. For DAI Section C if the main title is not in English, a translated title will appear in the 242 English Translation of Foreign Title field. For DAI sections A and B, only the dissertation title will appear.

Format

First indicator "1", second indicator "0", subfield delimiter, data element identifier "a", and the title of the dissertation. The field ends with a period (or other mark of punctuation) and a field terminator.

Examples

10\$aTOTAL SYNTHESIS OF CYTOVARICIN.

10\$aMODIFICACIONES FUNCIONALES DE LA ARTICULACION FEMORO-ROTULIANA TRAS INTERVENCIONES QUIRURGICAS SOBRE EL APARATO EXTENSOR.

300**Number of Pages****300****Description**

This field, when present, contains the number of pages in the dissertation. For DAI Parts A and B, there will be no 300 field for dissertations not available for sale by ProQuest. For DAI Section C, the absence of a 300 field means that the number of pages is unknown (many DAI Section C records represent titles which are not for sale by ProQuest).

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the number of pages. The field ends with a period (or other mark of punctuation) and a field terminator.

Example

\$a469 p.

500**General Note (Source)****500****Description**

This field contains source information: database identification, volume number or source code, and sometimes includes page number(s) from the Dissertation Abstracts publication in which the citation first appeared. Volume/issue strings that include a trailing "(E)" indicate "electronic" volume numbers; these appear in cases where no corresponding physical Dissertation Abstracts volume was printed. Access to the database identification is provided in the 773 Host Item Entry field. DAI records include a Section designation (A, B, or C). DAI-C dissertations are not for sale by ProQuest Dissertations & Theses.

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the source information. The field ends with a period and a field terminator. *Note: Publication page number is always 4 characters long and may have leading zeros.*

Examples

\$aSource: American Doctoral Dissertations.

\$aSource: American Doctoral Dissertations, Source code: S0028.

\$aSource: Dissertation Abstracts International, Volume: 51-06, Section A, page 1368.

\$aSource: Dissertation Abstracts International, Volume: 74-07(E), Section A.

\$aSource: Masters Abstracts International, Volume: 28-01, page: 1473.

\$aSource: Masters Abstracts International, Volume: 51-01(E).

500**General Note (Publisher)****500****Description**

This field contains the name of another publisher of the dissertation. It appears only in some DAI section C records.

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the publisher information. The field ends with a period and a field terminator.

Example

\$aPublisher info.: Reprint.

500**General Note (Advisor)****500****Description**

This field contains the name(s) of academic advisors or committee members, preceded by a designation of their function. Format is [function]: [name]; [name]; [name]. Designation of function is followed by ":", and multiple names are separated by ";". Names are in direct order, i.e. first name followed by last name. This field is optional, and may not occur more than once per record.

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the advisor information. The field ends with a period and a field terminator.

Example

\$aDirector: John Smith.

500**General Note (other)****500****Description**

This field contains additional notes, other than those relating to source, publisher, or advisor. This kind of note may occur only once per record.

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the content of the note. The field ends with a period and a field terminator.

Example

\$aIncludes supplementary digital materials.

502**Dissertation Note****502****Description**

This field contains information about the degree: the degree earned by the author, the name of the granting institution, and the year the degree was granted. The degree awarded may be lacking for DAI Section C and some Canadian records.

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the degree information. The field ends with a period and a field terminator.

Examples

\$aThesis (PH.D)–HARVARD UNIVERSITY, 1984.

\$aThesis-UNIVERSITAT AUTONOMA DE BARCELONA (SPAIN), 1988.

506**Restrictions on Access Note****506****Description**

This field indicates non-availability of the publication from ProQuest Dissertations & Theses. All DAI Section C dissertations and some DAI Sections A and B and MAI dissertations are not available for sale by ProQuest Dissertations & Theses and are so designated in this field.

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the availability information. The field ends with a period and a field terminator.

Example

\$aThis item is not available from UMI.

520**Abstract of Dissertation****520****Description**

This field contains the author-prepared abstract summary of the dissertation. Each 520 field represents a paragraph of the abstract. On occasion--as in the last of the examples below--an additional note may appear, describing the dissertation as being a compound document.

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the abstract. The field ends with a period (or other mark of punctuation) and a field terminator.

Examples

\$aThe dissertation advocates a "constructive" conception of legal interpretation as a way of making sense of judicial recognition of the emerging international law of human rights. A centerpiece of the study consists of cases in which United States courts recognize human rights such as freedom from torture and genocide as universalizable norms backed by a consensus of the world community. Emphasis is given to analyzing competing interpretations of jurisdiction, international custom, signatory intent, and the concept of universality in connection with the application of generalizable provisions from treaties, conventions, and declarations.

\$aUltimately the dissertation demonstrates how abstracting and universalizing central tenets of law-as-integrity's conception of rights interpretation from its domestic origins to international contexts yields a cogent philosophical basis for human rights adjudication.

\$a*This dissertation is a compound document (contains both a paper copy and a CD as part of the dissertation).

535**Location of Copy****535****Description**

This field contains the location of a reference copy. The field appears in some DAI Section C records only.

Format

First indicator "2", second indicator blank, subfield delimiter, data element identifier "a", and the location. The field ends with a period and a field terminator.

Example

2 \$aKarolinska Institute.

590**Local Note (School Code)****590****Description**

This field contains ProQuest's school code for the institution awarding the degree. This USMARC field is locally defined by ProQuest Dissertations & Theses. It allows a local system to provide the same level of access to USMARC-formatted PQDT records as is available in ProQuest's online databases. If access to School Codes is not desired, delete the 590 Local Note (School Code) and 790 School Code fields from the USMARC record before loading it into the local system.

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the school code information. The field ends with a field terminator.

Example

\$aSchool code: 0084

650**Subject Term****650****Description**

This field contains the subject term. This field is repeatable and will occur once for each subject term assigned to the dissertation.

Format

First indicator blank, second indicator "4", subfield delimiter, data element identifier "a", and the subject term. The field ends with a period and a field terminator.

Examples

4\$aMusic.

690**Subject Code****690****Description**

This field contains the subject code. This field is repeatable and will occur once for each subject code assigned to the dissertation. This is a UMI-defined MARC field. It allows a local system to provide the same level of access to MARC formatted Dissertation Abstracts records as is available from UMI's online files. If access to the Subject Code is not desired, delete the 690 Subject Code field(s) from the MARC record before loading into the local system.

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the subject code. The field ends with a field terminator.

Example

\$a0522

700**Added Entry—Personal Name****700****Description**

Each field contains an added author name. If there is more than one author of a dissertation, the first name appears in a 100 Main Author field and second and following names will appear in 700 Added Entry fields.

Format

First indicator "1", second indicator "0", subfield delimiter, data element identifier "a", the name, subfield delimiter, data element identifier "e", and the designation "joint author". The field ends with a period and a field terminator.

Example

10\$aLewis, Janet,\$ejoint author.

710**Added Entry—Corporate Name****710****Description**

In some DAI Section C records, this field contains the location of a reference copy as an access point. This field also contains the name of the institution--and perhaps the department--awarding the degree.

Format

First indicator "2", second indicator "0", subfield delimiter, data element identifier "a", and the location information or school name. May also be followed by a subfield delimiter, data element identifier "b", and the department with which the dissertation author (or authors) were associated. For location information, the field also contains a subfield delimiter, data element identifier "e", and the designation "ref. copy". The field ends with a period and a field terminator.

Examples

20\$aKarolinska Institute,\$eref. copy.

20\$aHARVARD UNIVERSITY.

20\$aHarvard University\$bBiology: Medical Sciences, Division of.

20\$aUNIVERSITAT AUTONOMA DE BARCELONA (SPAIN).

740**Added Entry—Variant Title****740****Description**

For DAI Section C records, if the main title is not in English, a translated title will appear in this added entry field.

Format

First indicator "0", second indicator "0", subfield delimiter, data element identifier "a", and the English translation of the title of the dissertation. The field ends with a period (or other mark of punctuation) and a field terminator.

Example

00\$aFUNCTIONAL MODIFICATIONS OF FEMOROPATELLAR ARTICULATION AFTER SURGICAL INTERVENTION ON THE EXTENSOR.

773**Host Item Entry****773****Description**

This field contains source information—database identification and volume number or source code—formatted as a host item entry to provide access to the database ID. Complete source information--possibly including a page number--is provided in the 500 General Note (Source) field.

Format

First indicator "0", second indicator blank, subfield delimiter, data element identifier "t", the database identification, subfield delimiter, data element identifier "g", and the volume/source information. An "(E)" at the end of a volume/issue string indicates an "electronic" volume number (i.e., no corresponding physical volume was printed). The field ends with a field terminator.

Examples

0 \$tAmerican Doctoral Dissertations.

0 \$tAmerican Doctoral Dissertations\$gS0028.

0 \$tDissertation Abstracts International\$g51-06A

0 \$tDissertation Abstracts International\$g74-07A(E).

0 \$tMasters Abstracts International\$g28-01.

0 \$tMasters Abstracts International\$g51-01(E).

790**Added Entry—Advisor Name****790****Description**

Each field contains an advisor name. This field will occur once for each name contained in the 500 General Note (Advisor) field.

Format

First indicator "1", second indicator "0", subfield delimiter, data element identifier "a", the name, subfield delimiter, data element identifier "e", and the designation "advisor". The field ends with a period and a field terminator.

Example

10\$aSmith, John,\$eadvisor.

790**School Code****790****Description**

This field contains the school code for the institution awarding the degree. This is a MARC field locally defined by ProQuest. It allows a local system to provide the same level of access to MARC formatted Dissertation Abstracts records as is available from ProQuest's online files. If access to the School Code is not desired, delete the 590 Local Note (School Code) and 790 School Code fields from the MARC record before loading into the local system.

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the school code. The field ends with a field terminator.

Example

\$a0084

791**Degree Name****791****Description**

This field—locally defined by UMI—contains the degree name. This field may be lacking for DAI Section C and for some Canadian theses. This is a UMI-defined MARC field. It allows a local system to provide the same level of access to MARC formatted Dissertation Abstracts records as is available from UMI's online files. If access to the Degree Name is not desired, delete the 791 Degree Name field from the MARC record before loading into the local system.

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the degree name. The field ends with a field terminator.

Example

\$aPH.D.

792**Degree Date****792****Description**

This field includes the date of publication of the dissertation as an access point. This is a UMI-defined MARC field. It allows a local system to provide the same level of access to MARC formatted Dissertation Abstracts records as is available from UMI's online files. If access to the Degree Date is not desired, delete the 792 Degree Date field from the MARC record before loading into the local system.

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the date. The field ends with a field terminator.

Examples

\$a1984

\$a1988

793**Language of Dissertation****793****Description**

This field contains the language that the dissertation is written in, if not English. This is a UMI-defined MARC field. It allows a local system to provide the same level of access to MARC formatted Dissertation Abstracts records as is available from UMI's online files. If access to the Language of Dissertation is not desired, delete the 793 Language of Dissertation field from the MARC record before loading into the local system.

Format

Two blank indicators, subfield delimiter, data element identifier "a", and the language name. The field ends with a field terminator.

Examples

\$aDutch

\$aFrench

856**Electronic Location and Access****856****Description**

An optional, variable-length field containing a URI (uniform resource identifier). This string uniquely identifies and provides linking access to the electronic version of the record within the ProQuest system.

Format

Two blank indicators, subfield delimiter, data element identifier "u", and the URI. The field ends with a field terminator.

Example

\$uhttp://gateway.proquest.com/openurl?url_ver=Z39.88-2004&rft_val_fmt=info:ofi/fmt:kev:mtx:dissertation&res_dat=xri:pqm&rft_dat=xri:pqdiss:T-00791